

TFW 2623

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/332,244
	Filing Date	June 11, 1999
	First Named Inventor	Michael D. Ellis
	Art Unit	2623
	Examiner Name	Hunter B. Lonsberry
Attorney Docket Number		UV-84

ENCLOSURES (Check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08 (in duplicate) and return postcard		
<table border="1"><tr><td>Remarks</td></tr><tr><td>In accordance with 37 C.F.R. §1.97(c), the Director is hereby authorized to charge payment of the \$180.00 fee required under 37 C.F.R. §1.17(p) to Deposit Account No. 06-1075, Order No. (003597-0084). The Director is also hereby authorized to charge any additional fees or refund any overpayment in connection with the papers enclosed herewith to Deposit Account No. 06-1075, Order No. (003597-0084). A duplicate copy of this Transmittal Form is enclosed herewith.</td></tr></table>			Remarks	In accordance with 37 C.F.R. §1.97(c), the Director is hereby authorized to charge payment of the \$180.00 fee required under 37 C.F.R. §1.17(p) to Deposit Account No. 06-1075, Order No. (003597-0084). The Director is also hereby authorized to charge any additional fees or refund any overpayment in connection with the papers enclosed herewith to Deposit Account No. 06-1075, Order No. (003597-0084). A duplicate copy of this Transmittal Form is enclosed herewith.
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Fish & Neave IP Group of Ropes & Gray LLP		
Signature			
Printed name	Adam M. Kaplan		
Date	December 22, 2006	Reg. No.	59,109

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Isatta Smith	Date	December 22, 2006



PATENTS
UV-84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Michael D. Ellis et al.
Application No. : 09/332,244 Confirmation No. : 2681
Filed : June 11, 1999
For : CLIENT-SERVER BASED INTERACTIVE
TELEVISION PROGRAM GUIDE SYSTEM WITH
REMOTE SERVER RECORDING
Group Art Unit : 2623
Examiner : Hunter B. Lonsberry

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

December 22, 2006
New York, New York 10020

TWELFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

	<u>U.S. Patent Documents</u>	
Haines	5,479,302	12/26/1995
Haines	RE 37,881E	10/15/2002

The aforementioned documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Pursuant to 37 C.F.R. §1.98(a)(2) copies of the above-

12/27/2006 JADD01 00000027 061075 09332244
01 FC:1806 180.00 DA

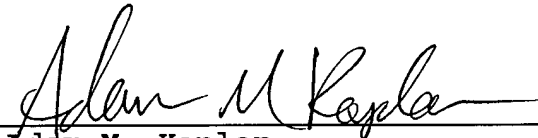
identified U.S. Patent documents are not enclosed herewith. It is respectfully requested that these documents be: (1) fully considered by the United States Patent and Trademark Office during examination of this patent application; and (2) printed on any patent that may issue on this patent application.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



Adam M. Kaplan
Agent for Applicants
Registration No. 59,109

Fish & Neave IP Group of
Ropes & Gray LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
Fax: (212) 596-9090



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/332,244
Filing Date	June 11, 1999
First Named Inventor	Michael D. Ellis
Art Unit	2623
Examiner Name	Hunter B. Lonsberry
Attorney Docket Number	UV-84

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,479,302	12/26/1995	Haines	
		US- RE 37,881E	10/15/2002	Haines	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.